



COPY OF PAPERS
ORIGINALLY FILED

FILE COPY

Receipt
Lew

ATTORNEY DOCKET NO. H00498/70168 TJO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schueller et al.
Serial No: 10/003,033
Conf. No.: 2182
Filed: November 1, 2001
For: OPTICAL MODULATOR/DETECTOR BASED ON RECONFIGURABLE
DIFFRACTING GRATING
Examiner: Not Yet Assigned
Art Unit: 2878

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, Washington, D.C. 20231 on the 30 day of August, 2002.


Signature

OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

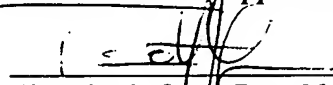
Transmitted herewith are the following documents:

- [X] Request for Corrected Filing Receipt
- [X] Marked-Up Copy of Filing Receipt
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Schueller et al., Applicants


Timothy J. Oyer, Reg. No. 36,628
Lisa E. Winsor, Reg. No. 44,405
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Attorneys for Applicants



COPY OF PAPERS
ORIGINALLY FILED

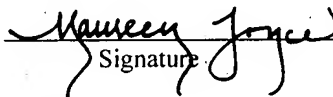
ATTORNEY DOCKET NO. H00498/70168 TJO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schueller et al.
Serial No: 10/003,033
Conf. No.: 2182
Filed: November 1, 2001
For: OPTICAL MODULATOR/DETECTOR BASED ON RECONFIGURABLE
DIFFRACTING GRATING
Examiner: Not Yet Assigned
Art Unit: 2878

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, Washington, D.C. 20231 on the 30 day of August, 2002.


Signature

OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

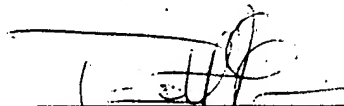
Dear Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Please make the following corrections as indicated in red on the attached copy of the Filing Receipt:

From:	To:
Title	Title
Optical modulator/detector based no reconfigurable diffraction grating	Optical modulator/detector based on reconfigurable diffraction grating

Please add the information as listed above and as indicated in red on the enclosed copy of the Filing Receipt. Applicant respectfully requests that this correction be made and a corrected filing receipt be issued. If you have any questions, please do not hesitate to call the undersigned at (617) 720-3500.



Timothy J. Oyer, Reg. No. 36,628
Lisa E. Winsor, Reg. No. 44,405
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Tel. (617) 720-3500

XNDD



COPY OF PAF 3
ORIGINALLY FILED

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

TJO

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/003,033	11/01/2001	2878	1434	H00498/70168 TJO	8	89	12

CONFIRMATION NO. 2182

✓ UPDATED FILING RECEIPT



OC000000008601826

23628
WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

Date Mailed: 08/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Olivier J. A. Schueller, Somerville, MA;
David C. Duffy, Cambridge, MA;
John A. Rogers, New Providence, NJ;
Scott T. Brittain, Southside, AL;
George M. Whitesides, Newton, MA;

DOCKETED

AUG 15 2002

File Folder	<input checked="" type="checkbox"/>	Initials
ECB	<input checked="" type="checkbox"/>	
Docket Entry	<input checked="" type="checkbox"/>	
Docket Cross Off	<input checked="" type="checkbox"/>	
Order Copies	<input checked="" type="checkbox"/>	
Annuities	<input checked="" type="checkbox"/>	
Confirmation	<input checked="" type="checkbox"/>	

11-1-02(2) ye
1-11-03 ye
9-9-02-32202 ye
11-14-02

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/425,787 10/22/1999
WHICH IS A CIP OF 09/274,372 03/23/1999 ABN
WHICH CLAIMS BENEFIT OF 60/078,984 03/23/1998

Foreign Applications

If Required, Foreign Filing License Granted 02/22/2002

Projected Publication Date: 11/14/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

TitleOptical modulator/detector based ^{on} ~~no~~ reconfigurable diffraction grating**Pr liminary Class**

250

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED; FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED; FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).